# Improvement of Bloomfilters: <br> A Rank and Selected Based Quotient Filter 

Matthias Bungeroth

Chair for Database Systems - Tum
16th January 2018

## Structure

Tasks

■ Filters in general

- Bloom-filter

■ Rank and Selected Based Quotient Filter
■ Couting Rank and Selected Based Quotient Filter

## Filters in general

Filters

- Can be configured with a false-positive-rate $\delta$ and n the element count to insert
- Implements method insert
- Implements method query that returns true or false


## Filters in general

Counting-filters

■ Implements method query that returns count

## Bloom-Filter

A Bloom-filter is a couple ( $B, H$ ). With B a bit-vector and H a set of hash-functions.

Empty Bloom-Filter with $\mathrm{H}=\left\{h_{1}(x), h_{2}(x)\right\}$

| slot | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| B | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Insert a and b with

$$
\begin{aligned}
& h_{1}(a)=1, h_{2}(a)=5 \\
& h_{1}(b)=3, h_{2}(b)=5
\end{aligned}
$$

| slot | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| B | 0 | 1 | 0 | 1 | 0 | 1 | 0 | 0 |

## Counting-Bloom-Filter

A Bloom-filter is a couple ( $B, H$ ).
With B a vector of counters and H a set of hash-functions.

| slot | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| B | 127 | 0 | 0 | 190 | 90 | 0 | 227 | 0 |

> query $(b)=0$
> query $(c)=90$

$$
\begin{aligned}
& h_{1}(b)=3, h_{2}(b)=5 \\
& h_{1}(c)=3, h_{2}(c)=4
\end{aligned}
$$

## Rank and Selected Based Quotient Filter

$■$ Spilts hash in $h_{0}$ (homeslot) and $h_{1}$ (remainder)

- Remainders are stored in homeslot if possible.


## Rank and Selected Based Quotient Filter

Filters
$\square \operatorname{occupied}[x]=1 \Longleftrightarrow \exists y \in S: h_{0}(y)=x$

- $\forall_{x, y \in S}: h_{0}(x)<h_{0}(y) \Longrightarrow h 1(x)$ is stored in an earlier slot than $h_{1}(y)$
$\square$ If $h_{1}(x)$ is stored in slot s, then $h_{0}(x) \leq s$ and there are no unused slots between slot $h_{0}(x)$ and slot s , inclusive.
$\square$ runends $[b]=1 \Longleftrightarrow$ slot $b$ contains the last remainder in a run.
$S$ is a set of elements that have been inserted.

| slot | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| occupied | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| runend | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| remainders | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

## Rank and Selected Based Quotient Filter

| slot | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| occupied | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| runend | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| remainders | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

$h_{1}(a)=0$

## Rank and Selected Based Quotient Filter

 Insert-example| slot | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| occupied | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| runend | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| remainders | $h_{1}(a)$ | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

$$
\begin{aligned}
& h_{0}(a)=0 \\
& h_{0}(b)=0
\end{aligned}
$$

## Rank and Selected Based Quotient Filter

 Insert-example| slot | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| occupied | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| runend | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
| remainders | $h_{1}(a)$ | $h_{1}(b)$ | 0 | 0 | 0 | 0 | 0 | 0 |

$h_{0}(b)=0$
$h_{0}(c)=0$
$h_{0}(d)=0$

## Rank and Selected Based Quotient Filter

 Insert-example| slot | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| occupied | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| runend | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 |
| remainders | $h_{1}(a)$ | $h_{1}(b)$ | $h_{1}(c)$ | $h_{1}(d)$ | 0 | 0 | 0 | 0 |

$h_{0}(c)=0$
$h_{0}(d)=0$
$h_{0}(e)=1$

## Rank and Selected Based Quotient Filter

 Insert-example| slot | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| occupied | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
| runend | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 |
| remainders | $h_{1}(a)$ | $h_{1}(b)$ | $h_{1}(c)$ | $h_{1}(d)$ | $h_{1}(e)$ | 0 | 0 | 0 |

$$
\begin{aligned}
& h_{0}(e)=1 \\
& h_{0}(f)=4
\end{aligned}
$$

## Rank and Selected Based Quotient Filter

Rank and Select

$\operatorname{RANK}(B, i)=\sum_{j=0}^{i} B[j]$ (Ammount of set bits in B upto postion $i$ )
$\operatorname{SELECT}(B, i)=($ Index of the $i$ th set bit in B$)$

## Rank and Selected Based Quotient Filter

 Insert-example| slot | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| occupied | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
| runend | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 |
| remainders | $h_{1}(a)$ | $h_{1}(b)$ | $h_{1}(c)$ | $h_{1}(d)$ | $h_{1}(e)$ | 0 | 0 | 0 |

$h_{0}(f)=4$

## Rank and Selected Based Quotient Filter

 Insert-example| slot | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| occupied | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
| runend | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 |
| remainders | $h_{1}(a)$ | $h_{1}(b)$ | $h_{1}(c)$ | $h_{1}(d)$ | $h_{1}(e)$ | 0 | 0 | 0 |

$h_{0}(f)=4$
RANK (occupied, 4) $=2$
$\operatorname{SELECT}($ runend, 2$)=4$

## Rank and Selected Based Quotient Filter

 Insert-example| slot | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| occupied | 1 | 1 | 0 | 0 | 1 | 0 | 0 | 0 |
| runend | 0 | 0 | 0 | 1 | 1 | 1 | 0 | 0 |
| remainders | $h_{1}(a)$ | $h_{1}(b)$ | $h_{1}(c)$ | $h_{1}(d)$ | $h_{1}(e)$ | $h_{1}(f)$ | 0 | 0 |

$h_{0}(f)=4$
RANK (occupied, 4 ) $=2$
$\operatorname{SELECT}($ runend, 2$)=4$

## Rank and Selected Based Quotient Filter

 Insert-example| slot | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| occupied | 1 | 1 | 0 | 0 | 1 | 0 | 0 | 0 |
| runend | 0 | 0 | 0 | 1 | 1 | 1 | 0 | 0 |
| remainders | $h_{1}(a)$ | $h_{1}(b)$ | $h_{1}(c)$ | $h_{1}(d)$ | $h_{1}(e)$ | $h_{1}(f)$ | 0 | 0 |

$h_{0}(g)=0$
$\operatorname{RANK}($ occupied, 0$)=1$
$\operatorname{SELECT}($ runend, 1$)=3$

## Rank and Selected Based Quotient Filter

 Insert-example| slot | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| occupied | 1 | 1 | 0 | 0 | 1 | 0 | 0 | 0 |
| runend | 0 | 0 | 0 | 1 | 0 | 1 | 1 | 0 |
| remainders | $h_{1}(a)$ | $h_{1}(b)$ | $h_{1}(c)$ | $h_{1}(d)$ | 0 | $h_{1}(e)$ | $h_{1}(f)$ | 0 |

$h_{0}(g)=0$
$\operatorname{RANK}($ occupied, 0$)=1$
$\operatorname{SELECT}($ runend, 1$)=3$

## Rank and Selected Based Quotient Filter

 Insert-example| slot | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| occupied | 1 | 1 | 0 | 0 | 1 | 0 | 0 | 0 |
| runend | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 0 |
| remainders | $h_{1}(a)$ | $h_{1}(b)$ | $h_{1}(c)$ | $h_{1}(d)$ | $h_{1}(g)$ | $h_{1}(e)$ | $h_{1}(f)$ | 0 |

$h_{0}(g)=0$
$\operatorname{RANK}($ occupied, 0$)=1$
$\operatorname{SELECT}($ runend, 1$)=3$

## Rank and Selected Based Quotient Filter

SELECT(runend, RANK (occupied, slot))

Returns corresponding runnend bit to a slot if occupied[slot]=1.

## Rank and Selected Based Quotient Filter

$$
\text { runend }=\text { SELECT }(\text { runend }, \text { RANK }(\text { occupied }, \text { slot }))
$$

| slot | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| occupied | 1 | 1 | 0 | 0 | 1 | 0 | 0 | 0 |
| runend | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 0 |
| remainders | $h_{1}(a)$ | $h_{1}(b)$ | $h_{1}(c)$ | $h_{1}(d)$ | $h_{1}(g)$ | $h_{1}(e)$ | $h_{1}(f)$ | 0 |

```
s = rankSelect(h0(x))
do{
    if remainders[s] = h1(x) then
        return true;
    s = s-1;
}while(s>h0(x) and !runend[s]);
return false;
```


## Rank and Selected Based Quotient Filter

■ Linar runtime of Query and Insert cause by the Rank and Select operation
■ Can be improved to 0(1) with offsets.

## Rank and Selected Based Quotient Filter

## Offsets

■ $O_{i}=\operatorname{rankSelect}(i)-i$
■ Only defined if and only if occupied[i] = 1
■ Only saved for every 64th slot

- To ensure every offset is defined runnend and occupied bits are inserted
■ Save flag to check if element was inserted into a 64th slot


## Rank and Selected Based Quotient Filter

- Currently all data is stored in different arrays
- Data can be reorganized into blocks

| 7 | 1 | 64 | 64 | $r \cdot 64$ |
| :---: | :---: | :---: | :---: | :---: |
| offset | used | occupieds | runends | remainders |

## Rank and Selected Based Quotient Filter

 CountingThe Rank and Selected Based Quotient Filter counts unary.

| slot | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| occupied | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| runend | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| remainders | $h_{1}(a)$ | $h_{1}(a)$ | $h_{1}(a)$ | $h_{1}(a)$ | $h_{1}(a)$ | $h_{1}(a)$ | $h_{1}(a)$ | 0 |

## Counting Rank and Selected Based Quotient FilterПII

 Counter encoding■ Encoded counters for elements can be added

| slot | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| occupied | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| runend | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| remainders | $h_{1}(a)$ | 5 | $h_{1}(a)$ | 0 | 0 | 0 | 0 | 0 |

## Counting Rank and Selected Based Quotient FilterПII

 Counter encoding| Count | Encoding | Rules |  |
| :---: | :---: | :--- | :---: |
| 1 | $x$ | none |  |
| 2 | $x, x$ | none |  |
| For $\boldsymbol{x}=\mathbf{0}$ |  |  |  |
| 3 | $x, x, x$ | none |  |
| $>3$ | $x, c_{l-1}, \ldots, c_{0}, x, x$ | $\forall_{c_{i} \neq x}$ |  |
|  |  | $\forall_{i<l-1} c_{i} \neq x$ |  |
| For $\boldsymbol{x} \neq \mathbf{0}$ |  |  |  |
|  | $x, c_{l-1}, \ldots, c_{0}, x$ | $x>0$ |  |
|  |  | $c_{l-1}<x$ |  |
|  |  | $\forall_{i<l-1} c_{i} \neq x$ |  |
|  |  | $\forall_{c_{i}} \neq x$ |  |

## Counting Rank and Selected Based Quotient FilterПII

 Counter encodingFor $x \neq 0$ and count $C \geq 3$ :
$C-3$ as $c_{l-1}, \ldots, c_{0}$ in base $2^{r}-2$ where symbols are
$1,2, \ldots, x-1, x+1, \ldots, 2^{r}-1$ and attach a zero to front if $c_{l}>x$.

For $x=0$ and count $C \geq 4$ :
$C-4$ as $c_{l-1}, \ldots, c_{0}$ in base $2^{r}-1$ where symbols are $1,2, \ldots, 2^{r}-1$.

## Evaluation

Rank and Selected Based Quotient Filter variants

■ Runtime

- Space consumption


## Evaluation

Runtime

■ Random inserts
■ Queries on inserted elements

- Random queries


## Evaluation

Rank and Selected Based Quotient Filter variants

| Configuration | Operations | RSQF no | RSQF nb | RSQF |
| :--- | :--- | :---: | :---: | :---: |
| $\delta=0.001$ | Random insert | 20 s | $<5 \mathrm{~ms}$ | $<2 \mathrm{~ms}$ |
| $n=10000$ | Query on inserted elements | 20 s | $<5 \mathrm{~ms}$ | $<2 \mathrm{~ms}$ |
|  | Random query(100\% load) | 0.1 s | $<1 \mathrm{~ms}$ | $<0.5 \mathrm{~ms}$ |
| $\delta=0.0001$ | Random insert | $/$ | 1.4 s | 3.7 s |
| $n=10000000$ | Query on inserted elements | $/$ | 1.8 s | 5.3 s |
|  | Random query(100\% load) | $/$ | 0.7 s | 0.6 s |
| $\delta=0.001$ | Random insert | $/$ | 43 s | 15 s |
| $n=100000000$ | Query on inserted elements | $/$ | 52 s | 17 s |
|  | Random query(100\% load) | $/$ | 8.2 s | 7.3 s |

## Evaluation

Rank and Selected Based Quotient Filter compared to Bloomfilter

| Configuration | Operations(in million per second) | BF | RSQF |
| :---: | :---: | :---: | :---: |
| $\begin{aligned} & \delta=0.01 \\ & n=10000000 \end{aligned}$ |  |  | (r=4) |
|  | Random insert | 2.9 | 6.0 |
|  | Query on inserted elements | 3.2 | 7.6 |
|  | Random query(100\% load) | 12.7 | 12.0 |
| $\begin{aligned} & \delta=0.00001 \\ & n=10000000 \end{aligned}$ |  |  | (r=8) |
|  | Random insert | 1.6 | 8.8 |
|  | Query on inserted elements | 1.8 | 6.6 |
|  | Random query(100\% load) | 12.26 | 25.7 |
| $\begin{aligned} & \delta=0.000001 \\ & n=100000000 \end{aligned}$ |  |  | (r=16) |
|  | Random insert | 1.1 | 4.7 |
|  | Query on inserted elements | 1.3 | 5.0 |
|  | Random query(100\% load) | 10.0 | 10.4 |

## Evaluation

Rank and Selected Based Quotient Filter variants


## Evaluation

Space-analysis

Space-Consumption for $n=100000000$


## Evaluation

## Space-analysis

Space-Consumption for $\delta=0.00001$


Space-Consumption for $\delta=0.00000001$


## Evaluation

| Operations(in million per second) | CBF | CQF(r=8) |
| :--- | :---: | :---: |
| Random insert | 7.9 | 13.5 |
| Random lookup | 7.7 | 9.6 |

Table showing average runtime of 1000000000 operations each for a CQF/ CBF configured with $\delta=0.0001, n=2000$

Thanks for your attention.

Any Questions?

Implementation....

